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| APPLICATION NO.   | F    | TLING DATE | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|---|------|------------|-------------------------|---------------------|------------------|--|
| 10/676,258  |      | 10/02/2003 | Junichi Miyano          | OKI 384             | 3480             |  |
| 23995   | 7590 | 10/12/2006 |                         | EXAM                | EXAMINER         |  |
| RABIN &   |      |            | CHEN, E                 | CHEN, BRET P        |                  |  |
| 1101 14TH STREET, NW<br>SUITE 500<br>WASHINGTON, DC 20005 |      |            |                         | ART UNIT            | PAPER NUMBER     |  |
|   |      |            |                         | 1762                |                  |  |
|   |      |            | DATE MAILED: 10/12/2006 |                     |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|---|--|--|--|--|--|
| 0.00  |   | 10/676,258  | MIYANO ET AL.  |  |  |  |  |
|   | Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |
|   |   | B. Chen   | 1762   |  |  |  |  |
| Period fo   | The MAILING DATE of this communication apports. The plant of the second section is a second secon | pears on the cover sheet with the c   | orrespondence address  |  |  |  |  |
| WHIC<br>- Exte<br>after<br>- If NC<br>- Failu<br>Any  | ORTENED STATUTORY PERIOD FOR REPL<br>CHEVER IS LONGER, FROM THE MAILING D<br>nsions of time may be available under the provisions of 37 CFR 1.1<br>SIX (6) MONTHS from the mailing date of this communication.<br>Depriod for reply is specified above, the maximum statutory period<br>are to reply within the set or extended period for reply will, by statute<br>reply received by the Office later than three months after the mailined patent term adjustment. See 37 CFR 1.704(b).   | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |   |  |  |  |  |  |
| 1)  | Responsive to communication(s) filed on   | <b></b> ·   |  |  |  |  |  |
| 2a)[☐   | This action is FINAL. 2b)⊠ This   | action is non-final.  |  |  |  |  |  |
| 3)  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |   |  |  |  |  |  |
|   | closed in accordance with the practice under E  | Ex parte Quayle, 1935 C.D. 11, 45   | i3 O.G. 213.   |  |  |  |  |
| Disposit  | ion of Claims   |   |  |  |  |  |  |
| 4)⊠   | 4)⊠ Claim(s) <u>1-4 and 6</u> is/are pending in the application.  |   |  |  |  |  |  |
|   | 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |  |  |  |  |  |
| 5)  | 5) Claim(s) is/are allowed.   |   |  |  |  |  |  |
|   | Claim(s) <u>1-4 and 6</u> is/are rejected.  |   |  |  |  |  |  |
| 7)[   | Claim(s) is/are objected to.  | n ala atta a sa a Casas ant   |  |  |  |  |  |
| 8)[_]   | Claim(s) are subject to restriction and/o   | r election requirement.   |  |  |  |  |  |
| Applicat  | ion Papers  |   |  |  |  |  |  |
| 9)[   | The specification is objected to by the Examine   | ег.   |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |   |   |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).                                  |   |   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |   |  |  |  |  |  |
| Priority (  | ınder 35 U.S.C. § 119   |   |  |  |  |  |  |
| 12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)⊠ All b)□ Some * c)□ None of:                          |   |   |  |  |  |  |  |
|   | 1. Certified copies of the priority documents have been received.   |   |  |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No  |   |  |  |  |  |  |
|   | 3. Copies of the certified copies of the priority documents have been received in this National Stage   |   |  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received. |   |   |  |  |  |  |  |
|   | oce the attached detailed office action for a list  | or the certified copies not receive   | u.   |  |  |  |  |
| Attachmen   | t(s)  |   |  |  |  |  |  |
|   | e of References Cited (PTO-892)   | 4) Interview Summary  |  |  |  |  |  |
|   | e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08)  | Paper No(s)/Mail Da 5) Notice of Informal P   |  |  |  |  |  |
|   | Paper No(s)/Mail Date 6) Other:   |   |  |  |  |  |  |

### **DETAILED ACTION**

Claims 1-4 and 6 are pending in this application, which is an RCE of Serial Number 10/676258. Amended claims 1 and 6, previously unentered, have now been entered.

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/13/06 has been entered.

## Claim Rejections - 35 USC § 112

Claims 1-4 and 6 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

In claim 1 line 12, the phrase "sufficient to break an Si-N bond" is confusing as there is no Si-N bond to break in the instant claims. Clarification and appropriate amendments are requested.

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# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-4, 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Usuki (5,914,151). Usuki discloses a method of forming a silica protective film, under low temperature conditions (col.1 lines 7-19) in which a polysilazane is formed on a substrate and subsequently oxidized (col.2 line 58 – col.3 line 2). The polysilazane is irradiated with ultraviolet rays including an excimer lamp having a wavelength of 172 nm (col.12 lines 15-34) and may be done simultaneously with the insertion of the precursor (col.8 lines 40-55). However, the reference fails to teach the claimed energy.

It is well known in the art to vary energy as higher energies produce a more vigorous reaction but with the disadvantage of thermal damage. One skilled in the art would consider the advantages and disadvantages in determining the energy of the excimer lamp to obtain the desired characteristics of the film. Hence, it would have been obvious to one having ordinary skill in the art to have determined the optimum value of a cause effective variable such as beam energy through routine experimentation in the absence of a showing of criticality.

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The limitations of claims 2-4 and 6 have been addressed above.

Turchan (6,274,206) discloses a method of coating a tool or insert at low temperatures and pressures with a diamond, diamond-like carbon (DLC), Cubic Boron Nitride (CBN), B.sub.4 C, SiC, TiC, Cr.sub.3 C.sub.2, TiN, TiB.sub.2, Si.sub.3 N.sub.4 and cCN material (col.9 line 55 – col.10 line 16). In one embodiment, a laser is utilized to direct energy at the surface of a substrate to mobilize and vaporize a constituent element (e.g., carbide) within the substrate (e.g., steel) wherein the laser can be an excimer laser (col.24 line 55 – col.25 line 42).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to B. Chen whose telephone number is (571) 272-1417. The examiner can normally be reached on 7:30am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks can be reached on (571) 272-1423. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Bc 10/1/06

BRET CHEN
PRIMARY EXAMINER